

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 3 Total Pages 2

First Named Inventor or Application Identifier Boek et al.

Title : METHODS AND APPARATUS FOR FORMING A CHLORINE-DOPED OPTICAL WAVEGUIDE PREFORM

Express Mail Label No. EL689101185US

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

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on 10/26/01 (Date)

Signature

Randall S. Wayland

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ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

j1036 U.S. PTO  
10/035535  
10/26/01

1. ☒ \* Fee Transmittal Form  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 15]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 2]
4. ☒ Oath or Declaration [Total Pages 2]
- a. ☒ Executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional. ☐ Continuation-in-part (CIP) of prior application No

Prior application information: Examiner: Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

20792

or ☐ Correspondence address below

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Name (Print/Type)	Randall S. Wayland			Registration No. (Attorney/Agent)	36,303
Signature	Randall S. Wayland			Date	10/26/01

<h1 style="margin: 0;">FEE TRANSFERS</h1> <h2 style="margin: 0;">for FY 2001</h2>		<b>Complete if Known</b>	
		Application Number	To Be Assigned
		Filing Date	Herewith
		First Named Inventor	Boek, Heather
		Examiner Name	To Be Assigned
		Group / Art Unit	To Be Assigned
TOTAL AMOUNT OF PAYMENT		Attorney Docket Number	SP01-253

<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number: <span style="border: 1px solid black; padding: 2px;">03-3325</span></p> <p>Deposit Account Name: <span style="border: 1px solid black; padding: 2px;">Corning Incorporated</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17</p> <p>2. <input type="checkbox"/> Payment Enclosed:  <input type="checkbox"/> Check   <input type="checkbox"/> Money Order   <input type="checkbox"/> Other</p>		<p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>440</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>600</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126</td><td>240</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties) ____x</td><td></td></tr> <tr><td>146</td><td>710</td><td>Filing a submission after final rejection (37 C.F.R. § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>710</td><td>For each additional invention to be examined (37 C.F.R. § 1.129(b))</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> <tr> <td colspan="2"></td> <td><b>Subtotal (3)</b></td> <td><b>(\$)</b></td> </tr> </tbody> </table>		Large Entity Fee Code	Fee (\$)	Fee Description	Fee Paid	105	130	Surcharge - late filing fee or oath		127	50	Surcharge - late provisional filing fee or cover sheet		139	130	Non-English specification		147	2,520	For filing a request for reexamination		112	920*	Requesting publication of SIR prior to Examiner action		113	1,840	Requesting publication of SIR after Examiner action		115	110	Extension for reply within first month		116	390	Extension for reply within second month		117	890	Extension for reply within third month		118	1,390	Extension for reply within fourth month		128	1,890	Extension for reply within fifth month		119	310	Notice of Appeal		120	310	Filing a brief in support of an appeal		121	270	Request for oral hearing		138	1,510	Petition to institute a public use proceeding		140	110	Petition to revive - unavoidable		141	1,240	Petition to revive - unintentional		142	1,240	Utility issue fee (or reissue)		143	440	Design issue fee		144	600	Plant issue fee		122	130	Petitions to the Commissioner		123	50	Petitions related to provisional applications		126	240	Submission of Information Disclosure Stmt		581	40	Recording each patent assignment per property (times number of properties) ____x		146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))		149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))		Other fee (specify) _____				Other fee (specify) _____						<b>Subtotal (3)</b>	<b>(\$)</b>																																																								
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		<b>Subtotal (3)</b>	<b>(\$)</b>																																																																																																																																																																																

<b>SUBMITTED BY</b>		<b>Completed (if applicable)</b>	
Name (Print/Type)	Randall S. Wayland	Registration No. (Attorney/Agent)	36,303
Signature	<i>Randall S. Wayland</i>	Date	10/26/01

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/08/2002 STEUMEL1 00000025 033325 10035535

01 FC:101	740.00 CH
02 FC:103	270.00 CH